



**ESSENTRA PLC  
("the Company")**

**Modern Slavery Statement 2019**

This statement has been published in accordance with the Modern Slavery Act 2015. It sets out the steps taken by Essentra plc during the year ending 31 December 2018 to prevent modern slavery and human trafficking in our business and supply chains.

**Our business**

Essentra plc is a leading global provider of essential components and solutions. The company is organised into four Divisions of Essentra Components, Essentra Packaging, Essentra Filters and Essentra Specialist Components.

Essentra focus on the light manufacture and distribution of high volume, enabling components which serve customers in a wide variety of end-markets and geographies.

Our international network extends to 33 countries, and includes 49 principal manufacturing facilities, 66 sales and distribution operations and four research & development centres.

**How we work**

At Essentra, we are committed to doing business the right way. Every employee is expected to understand and embrace the principles of our Ethics Code: to act responsibly, honestly and with integrity; to show respect, and treat others fairly and with dignity; to conduct our activities based on the highest ethical standards; and to ensure our business practices comply with all legal or regulatory requirements.

Further details of Essentra's six principles, which direct each employee as to how we should behave at all times in the workplace, can be found on our website [www.essentraplc.com](http://www.essentraplc.com).

**Our policies**

In summary, our "Anti-slavery and human trafficking policy" is to prohibit and pursue the prevention of slavery and human trafficking and any activity that facilitates modern slavery.

All employees are required to comply with this policy and each regional management board is responsible for ensuring that all employees within their respective regions understand and comply with the anti-slavery and human trafficking policy.

Employees are required to certify their receipt and understanding of this policy and certify their compliance with it on an annual basis. Failure to comply with this policy may expose the Company and/or its employees to criminal or civil liability and may result in disciplinary action, including dismissal.

In addition, our operations in India, Indonesia and Thailand are accredited to SA 8000 standards which detail fundamental principles of human rights. Essentra does not engage seasonal workers to any material extent, so we do not consider this to be a significant area of risk.

The Essentra Right to Speak policy and process is in place to enable any employee to report any circumstances where they genuinely and reasonably believe that the standards of the Ethics Code –

or, indeed, any of our policies – are not being upheld. We are committed to ensuring that employees feel able to raise any such concerns openly in good faith, without fear of victimisation or retaliation and with the support of the Company.

### **Supply chain**

As part of both our 'Know Your Supplier' and Third Party Due Diligence policy protocols, we require the relevant management teams to ensure that appropriate enquiries and a risk-based review are undertaken of suppliers – including an assessment of modern slavery risk. In addition, all our existing suppliers are subject to review, to allow us to identify and eliminate any potential risks regarding human rights, within our supply chain.

Our standard terms and conditions also stipulate:

“The Supplier shall comply with all applicable laws, regulations and codes of practice with regards to human rights, labour laws and workers’ rights, including – but not limited to – the United Kingdom’s Modern Slavery Act 2015, and, in particular, not engage either directly or indirectly in any form of slavery, servitude, forced labour or human trafficking.”

### **Employee training**

We require all our employees to review and confirm acceptance of Company policies which are fundamental to the six principles or are otherwise relevant to the role and responsibility of any individual employee, including key policies in relation to the avoidance of modern slavery and the protection of human rights.

Our Compliance and Ethics programme delivers training designed to increase the awareness of employees of key compliance issues. Employees’ understanding of our policies is supported by an eLearning training programme and, where applicable, we hold classroom-style sessions, with all divisions being required to identify what are the most prevalent risks to their respective activities; in certain jurisdictions, this includes a greater focus on the actions required to avoid modern slavery within the Essentra supply chain.

### **Compliance**

The acceptance rate of all of our policies – including Anti-Slavery & Human Trafficking and Third Party Due Diligence - is reviewed by the Group Assurance team as part of their normal internal audit processes, to ensure that our principles and standards are being adhered to. Their findings are conveyed to the Audit Committee and to the wider Board, so that any risks or compliance incidents are identified and appropriate remedial action identified and completed as soon as is reasonably practicable.

### **Summary**

It is the people within our company and the people that we work with that allows Essentra to be a leading global provider of essential components and solutions. As such Essentra will continue to make every effort to ensure that its employees and the personnel within its supply chain are treated ethically and with respect.

By order of the Board

  
Paul Forman

Chief Executive, Essentra plc